

**DECLARATION (37 CFR §1.63) FOR UTILITY OR
DESIGN PATENT APPLICATION
USING AN
APPLICATION DATA SHEET (37 C.F.R. § 1.76)**



Declaration
Submitted
with Initial
Filing

OR



Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e)) required)

Attorney Docket Number

DAS-103XC1

First Named Inventor

Frank H. Gaertner

COMPLETE IF KNOWN

Application Number

10/681,540

Filing Date

October 7, 2003

Group Art Unit

Examiner Name

This declaration is directed to an application entitled: **AMENDED RECOMBINANT CELLS (ARCS) FOR THE PRODUCTION AND DELIVERY OF ANTIVIRAL AGENTS, ADJUVANTS AND VACCINE ACCELERANTS.**

As the below named inventor(s), I/we declare that:
This declaration is directed to:

The attached application, or
Application No. _____, filed on _____

as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

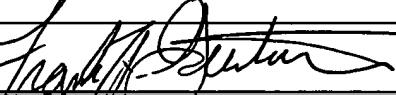
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and may jeopardize the validity of the application or any patent issuing thereon.

Full Name(s) of Inventors

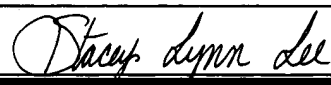
Inventor One: Frank H. Gaertner

Citizen of: US

Signature: 

Inventor Two: Stacey Lynn Lee

Citizen of: US

Signature: 

Inventor Three: Robert W. Shutter

Citizen of: US

Signature: 

Inventor Four:

Citizen of:

Signature:

☐ Additional inventors are being named on the 1 additional form(s) attached hereto.



Please type a plus sign (+) inside this box → ☐

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PTO/SB/81 (02-01)
Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/681,540
Filing Date	October 7, 2003
First Named Inventor	Frank H. Gaertner
Title	Amended Recombinant Cells (ARCS) for the Production and Delivery of Antiviral Agents, Adjuvants and Vaccine Accelerants
Group Art Unit	
Examiner Name	unknown
Attorney Docket Number	DAS-103XC1

I hereby appoint:

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OR
☐ Practitioner(s) named below:

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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Address					
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Country					
Telephone	Fax				

I am the:

- ☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Frank H. Gaertner

Signature

Date

01/06/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 3 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313.



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SIGNATURE of Applicant or Assignee of Record

Name	Stacey Lynn Lee
Signature	
Date	12/18/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

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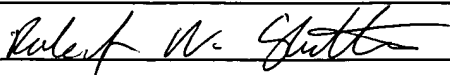
☐ Firm or ☐ Individual Name					
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SIGNATURE of Applicant or Assignee of Record

Name	Robert W. Shutter
Signature	
Date	01/06/04

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